

CapMetro

**DBE Schedule C2 &
Intent to Perform
(Other Subcontract Service)**

01.2025

Code of Federal Regulations

Code of Federal Regulations (CFR) Title 49, Subtitle A, Part 26

**Participation by Disadvantaged Business Enterprises in Department of
Transportation Financial Assistance Programs**

Funding Source – Federal Transit Administration

Triennial Goal – Attained through Good Faith Effort

Exhibit D

Exhibit D of a CapMetro Contracts/Procurements include the requirements of the forms that are addressed in this training, along with other DBE requirements.

Please ensure to READ Exhibit D in its ENTIRETY.

DBE Schedule C2 & Intent to Perform (Other Subcontract Services)

The contractor **MUST** submit a completed **DBE Schedule C2 & Intent to Perform (Other Subcontract Services)** form with their bid submission when a goal has been assigned or when utilizing subcontractors for other subcontract services. **The form will be completed and signed by the Contractor.**

The dollar or percentage listed in the Schedule C to the subcontractor (DBE/non-DBE) is the percentage based on the overall contract value.

NOTE: This percentage may fluctuate throughout the term of the contract if the overall contract value is changed during contract modifications. Contractors **MUST** keep up with the impact modifications will have in meeting the committed contract DBE goal.

The DBEs selected for subcontracting **MUST** be responsible for the management and supervision of the entire operation for which it is subcontracted to perform, and there cannot be a contrived arrangement for the purpose of meeting the contract DBE goal or contract goal committed to the DBE.

Reference Exhibit D, Section 3(d) (Credit Towards The Contract Goal), which addresses how the contractor may count towards the DBE goal when using a DBE for material supplies.

Disclaimer: If you are completing this as a result of an active contract or procurement, ensure that the purchaser, contract administrator, and/or project manager are copied.

DBE Schedule C2 & Intent to Perform (Other Subcontract Services)

The Schedule C form for **Other Subcontract Services** is used to document a DBE and non-DBE subcontractor's participation in service that are not related to material suppliers or hauling/trucking services.

DBE Schedule C2 & Intent to Perform (Other Subcontract Services)



SCHEDULE C.2: Disadvantaged Business Enterprise (DBE) Subcontractor Participation & Intent to Perform (Other Subcontract Services)

11/2024

DO NOT RECREATE OR CHANGE THIS DOCUMENT

Instructions: The Offeror (i.e., prime contractor) shall complete all applicable information in this form and the **Good Faith Effort (GFE) Form** to show its GFE towards meeting the contract goal assigned to the contract and include DBEs on a contract. This form will be completed for **Other Subcontract Services** that include but is not limited to the following: **(Architectural & Engineering, Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.)**. This form will not be used for subcontractors that provide hauling/trucking services or material supplies. Those subcontractors which are listed on this form as DBEs must have current DBE certification by a member of the Texas Unified Certification Program at the time of contract execution to Offeror (see Exhibit D, Section 7). Additionally, the Intent to Perform must be completed by the Prime Contractor and DBE subcontractor(s) listed in this form.

Prime Contractor:	Contact Name:	Ethnic/Gender Code:
Project Name:	Title:	A) Asian-Pacific American
IFB/RFP/SOQ#:	Phone#:	B) Black American
Firm's Age:	Email Address:	H) Hispanic American
Firm's Annual Gross Receipts (\$):	Address:	N) Native American
		SA) Subcontinental Asian American
		NM) Non-Minority (Woman)
		M) Male
		F) Female

Subcontractor Section (Other Subcontract Services)

Subcontract Services include but are not limited to the following: (Architectural & Engineering (A&E), Information Technology, Landscaping, Construction, Vehicle Maintenance, Janitorial, etc.)

COMPLETE THIS FORM FOR **ALL SUBCONTRACTORS** IDENTIFIED FOR THIS PROJECT. **YOU MAY USE MULTIPLE FORMS.**

In accordance with 49 CFR (Code of Federal Regulations) Part 26, §26.53 & §26.55, when making good faith efforts DBE firms used for credit towards the contract goal must have a current certification status with a TUCP Certifying Agency (see Exhibit D, Section 7) at the time of execution of the contract. The DBE must be certified in the 6-digit NAIS code for the proposed scope of work to receive credit towards the DBE contract goal. CapMetro will also review the proposed DBE participation to ensure that it will perform a commercially useful function.

Other Professional Services – Complete this section if using Other Subcontract Services (Use another form if needed)

Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 nd Tier Sub.	DBE or Non-DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment

Step 1: Input contract and contact information.



The Ethnic/Gender Code is available and will be used in the table below.

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Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 nd Tier Sub.	DBE or Non-DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment

Multiple forms may be used based on the number of subcontractors.



Ensure DBEs share documentation to confirm certification. You can search for DBEs using [TxDOT's TUCP Directory](#).

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Other Professional Services – Complete this section if using Other Subcontract Services (Use another form if needed)

Company Name	Contact Person, Phone#, & Address, Email	1 st or 2 nd Tier Sub.	DBE or Non-DBE	Ethnic Code/ Gender (Leave Blank if non-DBE)	Age of Firm	Annual Gross Receipts (\$)	Work description & 6-digit NAICS Code	Total \$ Commitment (Use % for A&E or other professional services)	Total % Commitment

Step 2: Complete this section for each subcontractor. Provide a contact person for the subcontractor in case additional information is needed. ***Include Annual Gross Receipts amounts and age of firm**



You can search for NAICS codes using [TxDOT's TUCP Directory](#).

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SCHEDULE C.2: Disadvantaged Business Enterprise (DBE) Subcontractor Participation & Intent to Perform (Other Subcontract Services)

11/2024

Intent to Perform

IFB/RFP/SOQ#:

The undersigned is currently certified under the Texas Unified Certification Program (TUCP) as a DBE. The undersigned is prepared to perform the following described work with its own workforce and/or supply the material listed in connection with the above project number at the following price \$ _____ and/or _____ % of the total contract amount (should be the same \$ or % found on Schedule C).

Any and all subcontractors that a DBE subcontractor uses must be listed in Schedule C. (Low tier subcontractors must complete this section only when used by a DBE subcontractor.)

IMPORTANT! A signature is required by the Offeror and each DBE listed on this form.

By signing this commitment, you affirm that all information provided is true and correct and agree to comply with all parts of 49 CFR, Part 26. A subcontract agreement or Purchase Order must be executed with the DBE and provided to CapMetro to be included in the DBE file under the contract.

Prime Contractor Name:		DBE Certified: <input type="checkbox"/> Yes <input type="checkbox"/> No	
Representative Name:	Address:	City:	State: Zip:
Title:	Phone#:	Email:	
Signature:	Date:		

1 st Tier Subcontractor Name:		DBE Certified: <input type="checkbox"/> Yes <input type="checkbox"/> No	
Representative Name:	Address:	City:	State: Zip:
Title:	Phone#:	Email:	
Signature:	Date:		


If the subcontractor anticipates that _____% of the dollar value of this subcontract will be sublet and/or awarded to other contractors, complete the section below. Duplicate as needed.

2 nd Tier Subcontractor Name:		DBE Certified: <input type="checkbox"/> Yes <input type="checkbox"/> No	
Representative Name:	Address:	City:	State: Zip:
Title:	Phone#:	Email:	
Signature:	Date:		



Step 3: Input the total dollar (\$) & percentage (%) of DBE participation.

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Step 6: The Prime Contractor and DBE will sign this section.

Checking the “Yes” under DBE certification is an indicator that the company has been confirmed as a certified DBE.

Contact & Website To Get Forms/Training

Send an email to DBE@capmetro.org for assistance.

**DBE Forms, Trainings, &
New User – Vendor Information Form
(Vendor Reporting System –VRS)
<https://www.capmetro.org/dbe>**

CapMetro

Thank you!