



**Agenda - Final**  
**Capital Metropolitan**  
**Transportation Authority**  
**Finance, Audit and Administration**  
**Committee**

2910 East 5th Street  
Austin, TX 78702

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**Monday, February 13, 2023**

**10:00 AM**

**Rosa Parks Boardroom**

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This meeting will be livestreamed at [capmetrotx.legistar.com](http://capmetrotx.legistar.com)

**I. Call to Order**

**II. Public Comment**

**III. Action Items**

1. Approval of minutes from the January 20, 2023 Finance, Audit and Administration Committee meeting.
2. Approval of a resolution authorizing the President & CEO, or her designee, to finalize and execute a contract with AnyPlace MD for the management of the Employee Wellbeing Center's operations for a two (2) year base period and three (3) one-year option periods, for a total not to exceed amount of \$8,538,116.
3. Approval of a resolution authorizing the President & CEO, or her designee, to finalize and execute a contract with Inter-Con Security Services to provide Unarmed Security Officer Services for Capital Metro properties for a three (3) year term with two (2) one-year option periods in an amount not to exceed \$17,908,647.

**IV. Presentations**

1. Equitable Transit-Oriented Development (ETOD) Presentation
2. Internal Audit FY23 Plan Status

**V. Items for Future Discussion**

**VI. Adjournment**

**ADA Compliance**

*Reasonable modifications and equal access to communications are provided upon request. Please call (512) 369-6040 or email [ed.easton@capmetro.org](mailto:ed.easton@capmetro.org) if you need more information.*

*Committee Members: Wade Cooper, Chair; Leslie Pool, Becki Ross and Matt Harriss.*

*The Board of Directors may go into closed session under the Texas Open Meetings Act. In accordance with Texas Government Code, Section 551.071, consultation with attorney for any legal issues, under Section 551.072 for real property issues; under Section 551.074 for personnel matters, or under Section 551.076, for deliberation regarding the deployment or implementation of security personnel or devices; arising regarding any item listed on this agenda.*



# Capital Metropolitan Transportation Authority

2910 East 5th Street  
Austin, TX 78702

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Finance, Audit and Administration Committee  
**Agenda Date:** 2/13/2023

**Item #:** AI-2023-726

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Approval of minutes from the January 20, 2023 Finance, Audit and Administration Committee meeting.



**Minutes**  
**Capital Metropolitan**  
**Transportation Authority**  
**Finance, Audit and Administration**  
**Committee**

2910 East 5th Street  
Austin, TX 78702

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**Friday, January 20, 2023**

**10:00 AM**

**Rosa Parks Boardroom**

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**I. Call to Order**

10:06 a.m. Meeting Called to Order

**II. Public Comment**

There was no public comment this month.

**III. Presentations**

1. 401(k) and Pension Plan Audit Reports
2. Audit Report - Semi-annual Follow-up on Prior Audit Recommendations
3. GRC Audit Project Deliverables - Fall 2022 UT Intern Project
4. FY2023 Internal Audit Plan Status

**IV. Executive Session**

Meeting went into Recess

Meeting Reconvened

Section 551.72 for Deliberation Regarding Real Property Matters: Potential purchase of additional office space

Into Executive Session: 11:04 a.m.

Out of Executive Session: 11:29 a.m.

**V. Items for Future Discussion**

**VI. Adjournment**

11:29 a.m. Meeting Adjourned

**ADA Compliance**

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*Committee Members: Wade Cooper, Chair; Leslie Pool, Becki Ross and Matt Harriss.*

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Finance, Audit and Administration Committee  
**Agenda Date:** 2/13/2023

**Item #:** AI-2022-685

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**SUBJECT:**

Approval of a resolution authorizing the President & CEO, or her designee, to finalize and execute a contract with AnyPlace MD for the management of the Employee Wellbeing Center's operations for a two (2) year base period and three (3) one-year option periods, for a total not to exceed amount of \$8,538,116.

**FISCAL IMPACT:**

Funding for this action is available in the FY2023 Operating Budget.

**STRATEGIC PLAN:**

**Strategic Goal Alignment:**

- 1. Customer
- 2. Community
- 3. Workforce
- 4. Organizational Effectiveness

**Strategic Objectives:**

- 1.1 Safe & Reliable Service
- 1.2 High Quality Customer Experience
- 1.3 Accessible System
- 2.1 Support Sustainable Regional Growth
- 2.2 Become a Carbon Neutral Agency
- 2.3 Responsive to Community and Customer Needs
- 2.4 Regional Leader in Transit Planning
- 3.1 Diversity of Staff
- 3.2 Employer of Choice
- 3.3 Expand Highly Skilled Workforce
- 4.1 Fiscally Responsible and Transparent
- 4.2 Culture of Safety
- 4.3 State of Good Repair

**EXPLANATION OF STRATEGIC ALIGNMENT:** The Employee Wellbeing Center is designed to enable Capital Metro to attract and retain the best talent in a very challenging labor market while providing health equity to all employees as well as service provider and support personnel.

**BUSINESS CASE:** The Employee Wellbeing Center is intended to reduce employee health costs, absenteeism and work-related injuries. The contract may also eventually reduce redundant contracting costs associated with the various required services such as Drug and Alcohol testing along with other occupational health services.

**COMMITTEE RECOMMENDATION:** This item was presented and recommended for approval by the Finance, Audit and Administration Committee on February 13, 2023.

EXECUTIVE SUMMARY: As part of our approach to employee wellbeing, CapMetro is implementing a mobile unit/telehealth clinic service to be utilized by our employees, retirees, and their dependents as well as service provider and support employees. The contractor will provide mobile services at our main operations facilities with digital/telehealth services to supplement these services. The Employee Wellbeing Center may provide:

- Acute and episodic healthcare
- Wellness services
- Health screenings
- Outpatient occupational healthcare
- Drug and alcohol testing
- DOT certification
- Vaccinations
- Biometric testing
- Well checks
- Disease management
- Additional services depending on Agency needs.

The Employee Wellbeing Center will be a mobile clinic parked at each site two days per week. The mobile clinic will be staffed by a Licensed Practical Nurse and/or an RN and appointments and walk-in opportunities will both be available.

The primary goals of the CapMetro Wellbeing Center are to increase medical access and equity, improving health outcomes for CapMetro team members, while reducing health-related costs for the Agency. The Wellbeing Center is in addition to other wellness and health-related services and programs CapMetro provides as benefits to employees, such as comprehensive health insurance, the Employee Assistance Program, on-site fitness centers, vaccination drives, etc.

DBE/SBE PARTICIPATION: Due to the nature of the solicitation a SBE goal was not established at this time.

PROCUREMENT: On October 11, 2022, a Request for Proposals was issued and formally advertised. By the closing date of November 14, 2022, two proposals were received. The evaluation team used the following factors for the evaluation of proposals: 1) The offeror's demonstrated, relevant work experience / technical background and capabilities of the firm as a whole and on projects of a similar size, scope, complexity and nature; 2) The offeror's demonstrated past performance; 3) Qualifications, experience, and capabilities of the proposed project personnel, and any subcontractors, on projects of a similar size, scope, complexity, and nature; 4) The offeror's demonstrated understanding of the project undertaking, the proposed plan for the performance of the work and the technical approach proposed by the offeror; 5) The methodology and quality of the work plan for the performance of the work by the offeror. The proposal from AnyPlace MD was

determined to be the best value for the Authority, price and other factors considered. The Contract is a fixed price contract. The term of the Contract is a base period of two (2) years, and three (3) option periods of one year each, for pricing offered as follows:

<b>Description</b>	<b>Total Award</b>
Base Year 1, Contract Year 1	\$1,710,214.10
Base Year 2, Contract Year 2	\$1,632,053.21
Option Year 1, Contract Year 3	\$1,681,014.80
Option Year 2, Contract Year 4	\$1,731,445.25
Option Year 3, Contract Year 5	\$1,783,388.60
<b>TOTAL NOT TO EXCEED</b>	<b>\$8,538,115.96</b>

RESPONSIBLE DEPARTMENT: People and Culture



**RESOLUTION  
OF THE  
CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY  
BOARD OF DIRECTORS**

**STATE OF TEXAS  
COUNTY OF TRAVIS**

**AI-2022-685**

WHEREAS, the Capital Metropolitan Transportation Authority Board of Directors and Capital Metro management recognize the need to provide a benefit that gives access to affordable healthcare services for employees, service provider and support personnel; and

WHEREAS, the Capital Metropolitan Transportation Authority Board of Directors and Capital Metro management recognize the need to attract and retain the best talent.

NOW, THEREFORE, BE IT RESOLVED by the Capital Metropolitan Transportation Authority Board of Directors that the President & CEO, or her designee, is authorized to finalize and execute a contract with AnyPlace MD for the management of the Employee Wellbeing Center's operations for a two (2) year base period and three (3) one-year option periods for a total not to exceed amount of \$8,538,116.

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Secretary of the Board  
Leslie Pool**

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Finance, Audit and Administration Committee  
**Agenda Date:** 2/13/2023

**Item #:** AI-2023-725

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**SUBJECT:**

Approval of a resolution authorizing the President & CEO, or her designee, to finalize and execute a contract with Inter-Con Security Services to provide Unarmed Security Officer Services for Capital Metro properties for a three (3) year term with two (2) one-year option periods in an amount not to exceed \$17,908,647.

**FISCAL IMPACT:**

Funding for this action is available in the FY2023 Operating Budget.

**STRATEGIC PLAN:**

**Strategic Goal Alignment:**

- 1. Customer       2. Community
- 3. Workforce       4. Organizational Effectiveness

**Strategic Objectives:**

- 1.1 Safe & Reliable Service       1.2 High Quality Customer Experience       1.3 Accessible System
- 2.1 Support Sustainable Regional Growth       2.2 Become a Carbon Neutral Agency
- 2.3 Responsive to Community and Customer Needs       2.4 Regional Leader in Transit Planning
- 3.1 Diversity of Staff       3.2 Employer of Choice       3.3 Expand Highly Skilled Workforce
- 4.1 Fiscally Responsible and Transparent       4.2 Culture of Safety       4.3 State of Good Repair

**EXPLANATION OF STRATEGIC ALIGNMENT:** Ensuring a safe and secure environment at Capital Metro facilities for both customers and staff are core objectives of the strategic plan.

**BUSINESS CASE:** The unarmed security staff control access to Capital Metropolitan facilities, monitor access and camera systems, provide patrols through facilities and properties, respond to incidents, and document all facility security incidents and activity for the Public Safety department. These functions are vital to ensuring a safe and secure environment and protecting Capital Metro employees, customers, and property.

**COMMITTEE RECOMMENDATION:** This item was presented and recommended for approval by the Finance, Audit and Administration Committee on February 13, 2023.

**EXECUTIVE SUMMARY:** Capital Metro's Public Safety program uses contracted unarmed security guards to control access to certain Cap Metro facilities as well as monitor camera systems, access control systems, conduct safety and security sweeps at our facilities, and document all security related activity for the Public Safety Department. These unarmed security guards are usually the first person a visitor meets when arriving to conduct business at a Capital Metro facility.

**DBE/SBE PARTICIPATION:** The contractor will meet this goal utilizing the following SBE contractor: Boutchantharaj Corporation DBA: Security Protective Force. The goal is 4%.

**PROCUREMENT:** On October 17, 2022, a Request for Proposal was issued and formally advertised for Unarmed Security Officer Services requiring all necessary resources to operate and maintain a security force consisting of managerial and security officer positions at various designated posts throughout CapMetro's facilities. By the closing date of December 01, 2022, seven (7) proposals were received. The evaluation team used the following factors in their evaluation of proposals: 1) The offeror's demonstrated understanding of the project undertaking, the proposed plan for the performance of work and the technical approach proposed by the offeror; 2) The offeror's demonstrated approach to training personnel and providing security service mobilization; 3) The offeror's demonstrated, relevant work experience and capabilities of the firm and of the proposed project personnel and any subcontractors on projects of a similar size, scope, and complexity; and 4) The offeror's demonstrated past performance on projects of this scope and nature. The proposal from Inter-Con Security, Incorporated, in the total amount of \$17,908,646.43, is determined to be the best value to the Authority, price and other factors considered. The term of the contract is three (3) years from the Notice to Proceed (NTP) with two (2) one-year option periods.

**RESPONSIBLE DEPARTMENT:** Public Safety and Emergency Management

**RESOLUTION  
OF THE  
CAPITAL METROPOLITAN TRANSPORTATION AUTHORITY  
BOARD OF DIRECTORS**

**STATE OF TEXAS  
COUNTY OF TRAVIS**

**AI-2023-725**

WHEREAS, the Capital Metropolitan Transportation Authority Board of Directors recognize the need to provide unarmed security guards at key facilities to ensure the safety and security of the Authority's employees, customers, and property.

NOW, THEREFORE, BE IT RESOLVED by the Capital Metropolitan Transportation Authority Board of Directors that the President & CEO, or their designee, is authorized to finalize and execute a contract with Inter-Con Security Services to provide Unarmed Security Officer Services for Capital Metro for a three (3) year term with two (2) one-year option periods in an amount not to exceed \$17,908,647.

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Secretary of the Board  
Leslie Pool**



# Capital Metropolitan Transportation Authority

2910 East 5th Street  
Austin, TX 78702

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Finance, Audit and Administration Committee  
**Agenda Date:** 2/13/2023

**Item #:** AI-2022-702

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Equitable Transit-Oriented Development (ETOD) Presentation

# Equitable Transit-Oriented Development (ETOD)

Cap Metro FAA Committee  
February 13<sup>th</sup>, 2023

Sharmila Mukherjee, EVP Chief Strategic Planning and Development  
Anna Lan, TOD Manager  
Stan Wall, Managing Partner at HR&A Advisors



# ETOD Team



# Equitable Transit-Oriented Development (ETOD) Study

- \$3.15 million in Federal Transit Administration (FTA) TOD grant
- 98 Stops along Project Connect transit corridors
- Capital Metro Lead / City of Austin Supporting
- Nexus opportunity to develop quality transit and increase housing with context sensitivity
- Improve CIG New Starts ratings and leverage future grant opportunities





# Equitable Transit-Oriented Development (ETOD) Study

Year	Amount Awarded
2022	\$900,000
2021	\$750,000
2020	\$900,000
2018	\$600,000
Total	\$3.15 M

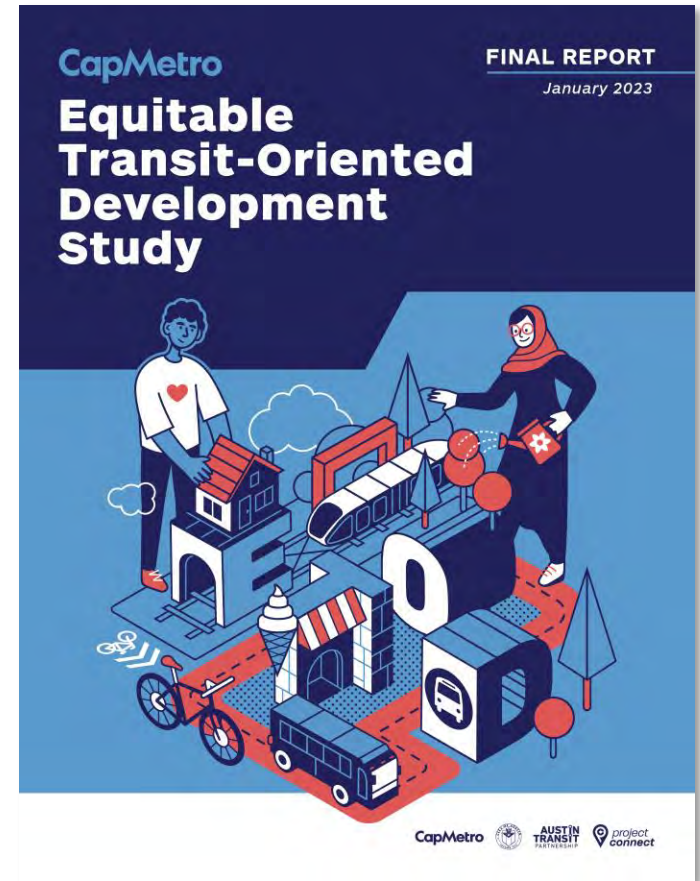
- Only agency to receive 4 grants in 5 years
- Transcended 2 administrations
- Exceeded average grant awarded



# Major Deliverables

Partnership with COA to establish baseline policy framework. After delivering the ETOD Policy Plan, CapMetro will focus on TOD implementation while COA will focus on regulatory changes.

1. Case Studies
2. Existing Conditions Dashboard
3. Policy Toolkit
4. Typologies
5. Priority Tool Dashboard
6. ETOD Policy Plan
7. Station Area Vision Plans



# 6 ETOD Project Goals

Formed through Austin City Council ETOD Goals, City of Austin Racial Equity Anti-Displacement Tool, and priorities identified through Phase 1 Public Engagement.



1. Enable All Residents to Benefit from Safe, Sustainable, and Accessible Transportation
2. Help to Close Racial Health and Wealth Gaps
3. Preserve and Increase Housing Opportunities That are Affordable and Attainable
4. Expand Access to High-Quality Jobs & Career Opportunities
5. Support Healthy Neighborhoods That Meet Daily Needs
6. Sustain Austin's Diverse Cultural Heritage and Small Businesses

# Comprehensive Engagement Touchpoints

 Compensated Discussions



**Community/  
Public Meetings**  
5 Events



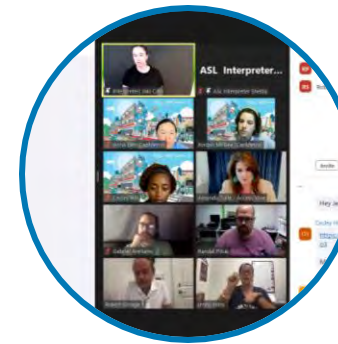
**CAC  
Briefings**  
ETOD Working  
Group Monthly  
Meeting



**Tabling/Surveys**  
994 Surveys  
20+ Tabling Events



**Focus Groups &  
One-on-ones**  
51 Focus Groups  
300+ Participants  
\$8,819 Compensation



**Stakeholder  
Presentations**  
35+ CapMetro and  
COA Committee  
Presentations



**Community  
Connectors**  
12 Connectors  
40+ Organized Events  
330+ People Reached  
\$10,000 Compensation

# Existing Conditions Dashboard

Open Study Area Map

## Explore the Data

Select a station to see the station area on a map and a summary of a few key metrics on the dashboard.

Select a Station:

- ETOD Study Area
- North Lamar Transit Center
- Crestview
- Koenig
- Triangle
- Hyde Park
- Hemphill Park
- Waterfront
- Travis Heights
- Lakeshore
- Riverside / Pleasant Valley
- Faro
- Montopolis

### Stations (LRT)

Blue Line



Orange Line



### Project Connect Transit Lines

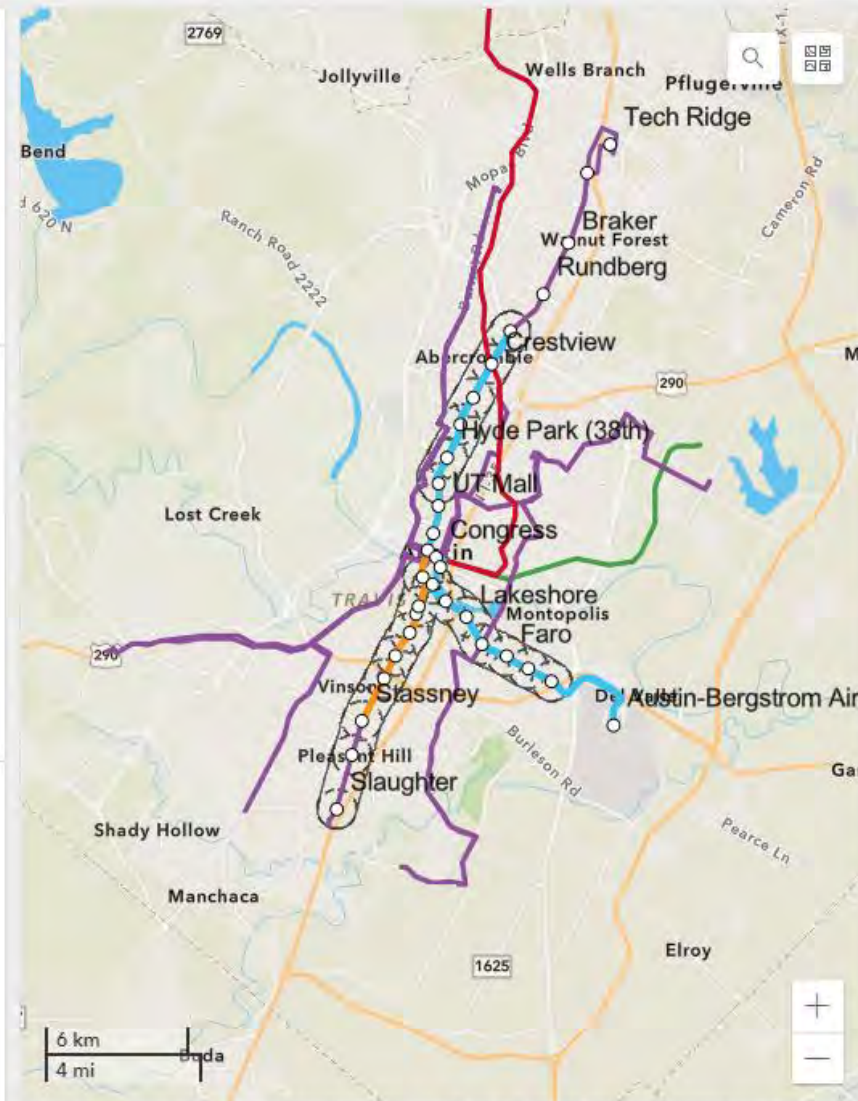
High-Capacity Lines

- Orange Line (LRT)
- Blue Line (LRT)
- Red Line (Commuter Rail)
- Green Line (Commuter Rail)
- MetroRapid

### ETOD Study Area

Buffer

- Half-mile Station Area
- Half-mile Study Area Corridor



Austin Community College, City of Austin, Texas Parks & Wildlife, CONANP... Powered by Esri

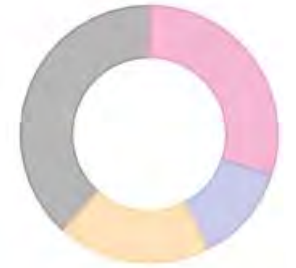
## Urban Fabric



- Residential 50%
- Commercial 14%
- Industrial 7%
- Parks / Open Space 7%
- Institutional 17%
- Other / Infrastructure 1%

Land Use Distribution (Acres)

## Displacement Risk



- Active Displacement 30%
- Chronic Displacement 12%
- Vulnerable 19%
- Historical Exclusion 38%

Category Weighted by Population

## Population



2020

## Mobility



2021

## Population



2020

## Mobility



2021

## Jobs & Businesses



2021

## Real Estate



2011-2021

## Jobs & Businesses



2021

## Real Estate



2021

# Policy Toolkit

Provides equitable framework for PC station-area planning and investment

- 5 buckets of Tools, each with 3 overarching strategy categories
- 46 buildable policy solutions
- Tied to 6 ETOD Goals
- Vetted by CapMetro, ATP, and COA departments for over 3 + months
- 2 Workshops with CAC + COA + Internal Teams

BUSINESS & WORKFORCE DEVELOPMENT

## SMALL BUSINESS CONSTRUCTION INTERRUPTION FUND

Strategy: Business Assistance During Construction

Implementation Lead	Description
ATP Equity & Inclusion	Through a small business fund that is active for the duration of Project Connect corridor construction, ATP Equity & Inclusion, the implementation lead, would provide financial assistance to businesses affected by the transit construction. While federal requirements mandate the creation of this funding resource, there is no federal guidance on incorporating equity considerations into the distribution of funding. ATP Equity & Inclusion would broaden the design and implementation of the federally mandated fund to be developed through an equity lens that prioritizes the needs of BIPOC-owned or franchised businesses and legacy businesses, while remaining compatible with federal and state requirements. Financial assistance can be directed towards legal protections, branding and marketing, and/or technical assistance. Care should be taken to consider what constitutes a business interruption in a broad sense and how this applies to businesses who face declining revenue due to construction nuisances. Broadening the scope of the fund may require additional financial resources to the extent it expands to serve businesses facing secondary construction impacts, identified by ATP, possibly with the help of the EDD. ATP would not directly manage the fund, but could instead issue a Request for Proposal (RFP) to partner with an existing non-profit to administer the fund.
<b>Partners</b> City of Austin Economic Development Department	
<b>Timeline</b> Commerce Planning and Design: Within 1 Year	
<b>Implementation Begins:</b> Prior to Construction	
<b>Active Period:</b> Duration of Project Connect Construction	
<b>City Council Goals</b> a, i, k, w	
<b>ETOD Goals</b> 6. Expand Austin's Diverse Cultural Heritage and Small, Minority-Owned, and Legacy Businesses	

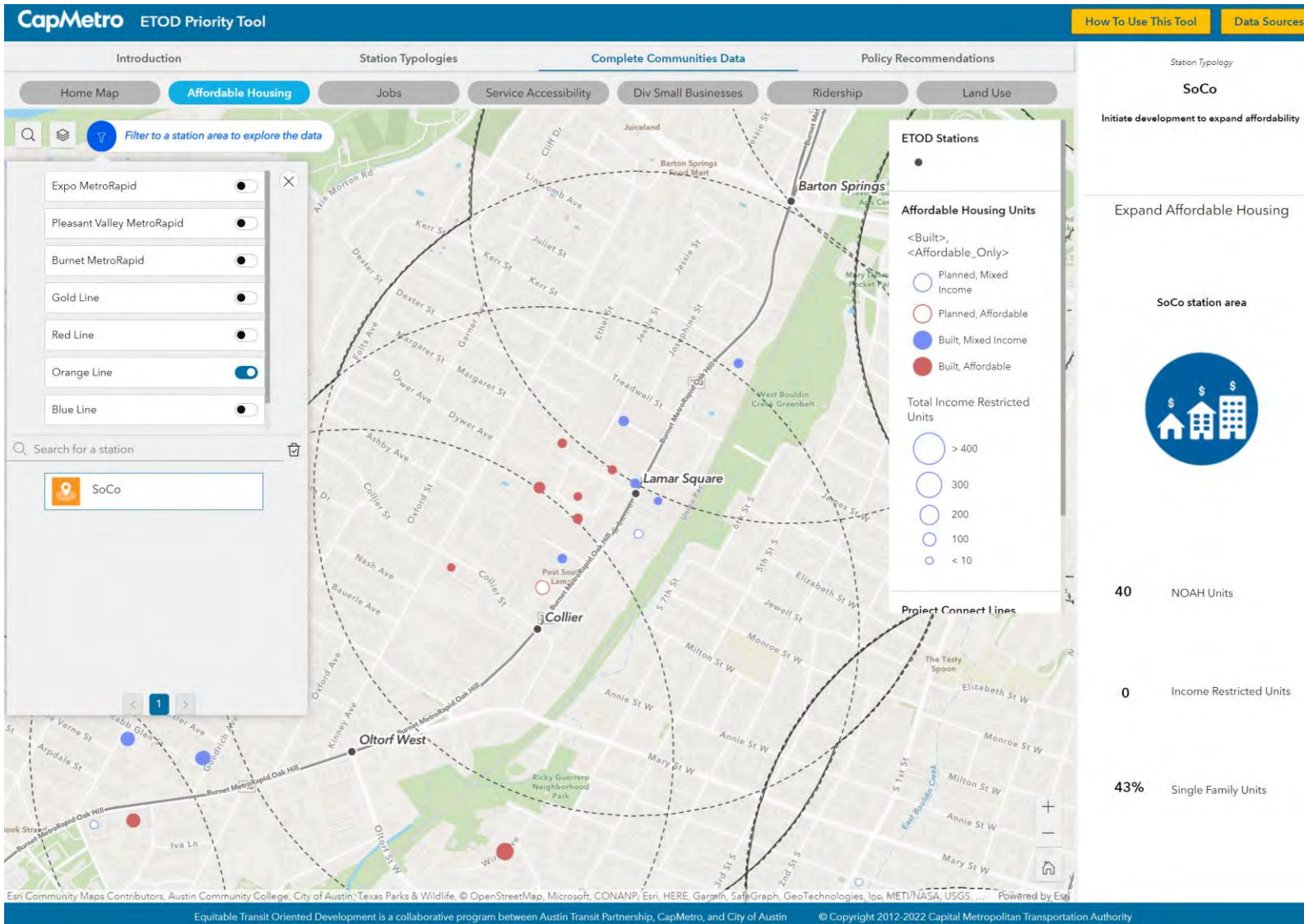
As an example, during the construction of Seattle's Light Rail, the Rainier Valley g Community Development Fund was implemented with \$43 million from the City of Seattle and \$7 million from Sound Transit (the Central Puget Sound Regional Transportation Authority). The \$50 million fund was created to address the construction impacts of the new light rail line (\$25 million) and provided to businesses that were forced to physically relocate or faced business interruptions as a result of construction (\$25 million). By the end of the construction period in 2009, the area had an 85% business retention rate.

Does something like this exist in Austin today? If so, how does this tool build from existing program? If not, could it exist?

A Small Business Construction Interruption Fund does not currently exist in Austin as these tools are typically created in response to major transit construction projects to align with federal requirements. The fund could be set up in a similar manner to the City of Austin Small Business Relief Grant, which provided assistance

ETOD Policy Toolkit | 9

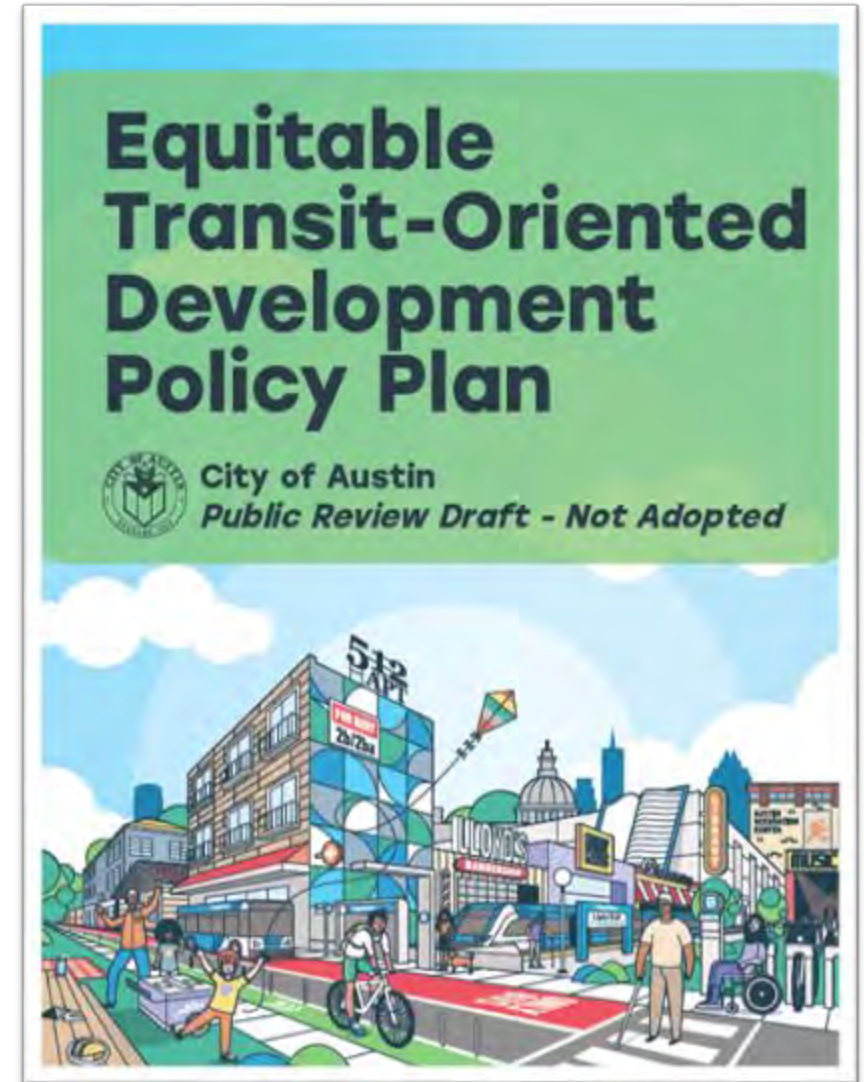
# ETOD Priority Tool



- Detailed data geared towards agencies instead of public
- Used for multi-layered analysis tied to policy
- Complete Communities data by station

# ETOD Policy Plan

- **Comprehensive framework for Austin**
  - ETOD Goals
  - Typologies
  - Planning Prioritization
  - Equity Metrics
  - Policy Toolkit
  - Implementation Work Plan
- **CapMetro significant contribution**
- **Goes to City Council (via resolution) on February 23, 2023**





# Station Area Vision Plans

## 1. North Lamar Transit Center



## 2. South Congress Transit Center



### Purpose

1. Establish foundation to inform necessary regulatory changes in Station Area neighborhoods to enable TOD development
2. Set priorities for land uses and area characteristics within half-mile of station
3. Streamline future TOD planning process

# Austin's TOD Process

## Phase 1: Identify TOD Districts

Establish boundaries, designate Category Zones, apply interim regulations on parking, setbacks, land use, etc

## Phase 2: Station Area Planning & Regulating Plan

1. Station Area Plan: **outline tools and incentives** that encourages TOD patterns and characteristics, such as land use, circulation, affordability and open space. Adopted through Neighborhood Planning Process.
2. Regulating Plan: **builds, refines and codifies** the Station Plan recommendations. Adopted by Ordinance and supersedes Land Development Code and Neighborhood Plan.

# North Lamar Transit Center and South Congress Transit Center

## Selected due to:

1. Lack of existing TOD-supportive regulations
2. Existing low-density industrial and commercial uses
3. Lower existing residential population
4. CapMetro-owned land
5. Able to accomplish large-scale development without displacing business or housing from the site\*

\*acknowledging potential displacement pressure to the surrounding neighborhood

# Station Area Vision Plan Content

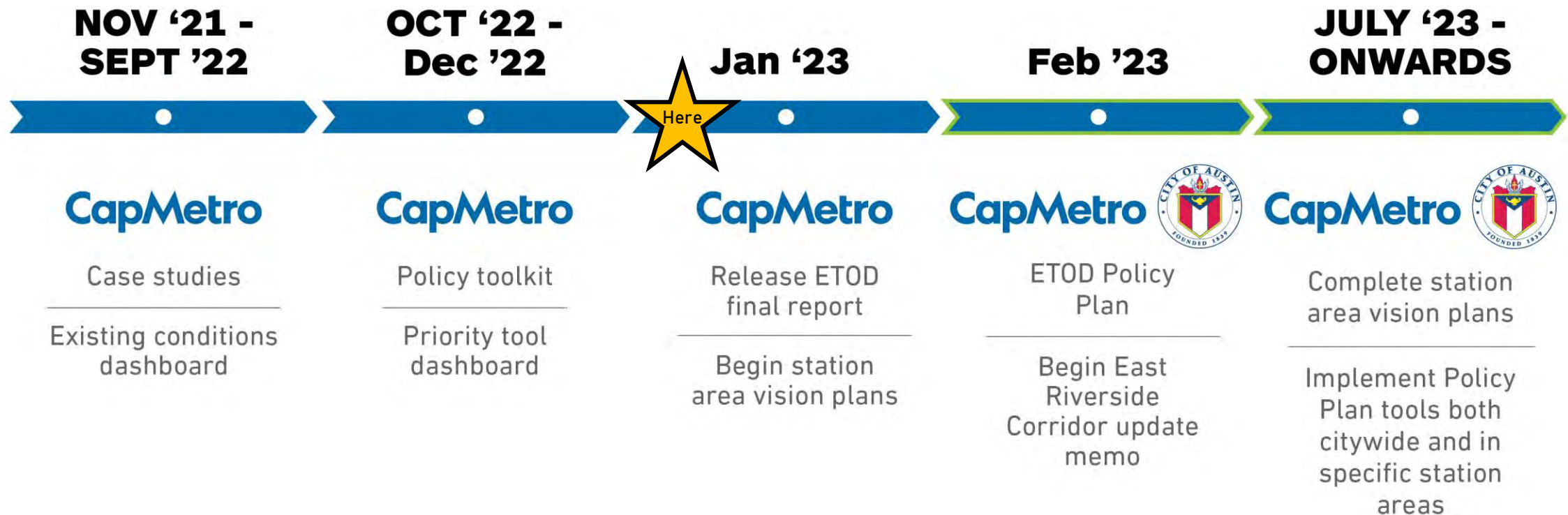
## Addressing:

1. Development intensity accommodating transit facility
2. Existing conditions
3. Connectivity network
4. Open Space, trails, street enhancement
5. Placemaking/placekeeping
6. Parking strategies
7. Sustainability goals
8. Policy recommendations

## NOT Addressing:

1. Zoning
2. Site development
3. Building design

# Project Timeline



CapMetro

Thank you!

# ETOD Project Resources

1. CapMetro ETOD Project page: <https://projectconnect.com/projects/etod>
2. Cap Metro ETOD Priority Tool: <https://experience.arcgis.com/experience/0388c5d05c0b45d495d2c2c74500f60a/>
3. CapMetro Existing Conditions Dashboard: <https://capmetro-etod-nelsonnygaard.hub.arcgis.com/>
4. City of Austin ETOD Policy Plan: <https://www.speakupaustin.org/etodpolicyplan>
5. CapMetro ETOD Study Downloads:  
[https://projectconnect.com/projects/etod-resources?\\_ga=2.27171774.2052467568.1667228118-1301693859.1659020898](https://projectconnect.com/projects/etod-resources?_ga=2.27171774.2052467568.1667228118-1301693859.1659020898)
  - Executive Summary
  - Case Study Summary
  - Study Engagement Summary
  - One-Page Task Summaries
  - Toolkit Intro



# Capital Metropolitan Transportation Authority

2910 East 5th Street  
Austin, TX 78702

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Finance, Audit and Administration Committee  
**Agenda Date:** 2/13/2023

**Item #:** AI-2023-724

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Internal Audit FY23 Plan Status



# FY23 INTERNAL AUDIT PLAN

## Department Scorecard

	Projects	Status & % Complete	Additional Details
	<b>FAA COMMITTEE &amp; INTERNAL AUDIT CHARTER COMPLIANCE</b>		
1	Finance, Audit & Administration (FAA) Committee Meetings: 10/12; 11/9; 12/7; 1/20; 2/13; 3/8; 4/12; 5/10; 6/14; 7/12; 8/14; 9/13; 10/11	In-Process	
2	Semi-annual Implementation Status Report - November 2022	Completed	January
3	Semi-annual Implementation Status Report - May 2023		
4	FY2023 Risk Assessment & development of FY24 Audit Plan		
	<b>FY23 Audit Assurance &amp; Advisory Projects</b>		
1	Joint Powers Agreement & Related Interlocal Agreements - Advisory	In-Process	
2	ERP (Oracle) Implementation Advisory	In-Process	
3	FastPath - Analyze Oracle segregation of duties	In-Process	
4	MV Contract - Pass Through Charges (e.g. Payroll; Spare Parts; etc.)	In-Process	
5	GRC - Contract Performance Management System Advisory		
6	GRC (Governance Risk & Compliance) System Implementation	In-Process	
7	GRC (Governance Risk & Compliance) - UT Interns on Bus Ops, Maintenance & Safety	Completed	January
8	GRC (Governance Risk & Compliance) - UT Interns on Rail & Demand Response Operations, Maintenance & Safety	Completed	January
9	GRC (Governance Risk & Compliance) - UT Interns on Safety Dept	Completed	January
10	Bus Parts Management & Controls	In-Process	UT Interns
11	New MV Transit Contract Model		
12	Miscellaneous Revenue Controls	In-Process	UT Interns
13	NIST Cybersecurity Framework (Facilitated Self Assessment)	In-Process	CISA audit 3/7 - 3/9
14	Annual Cybersecurity Review		
15	Benchmarking Policies & Procedures (Structure, Content, Governance & Training)	In-Process	UT Interns
	<b>CONTINGENT AUDIT PROJECTS - FY23</b>		
1	Hexagon (Infor) System - post go live review		
2	Salary Adjustment & Merit Process		
3	AMP Cards & New Fare Structure		
4	Paratransit & Demand Response Operations		
5	United Healthcare & Other Self-Insured Benefits (TPA Payments)		
6	Bytemark - Account-Based System		
7	Microsoft Sharepoint & Active Directory		
8	Safety Management System (SMS) - Management of Change		
9	McKalla Station		
10	MetroBike Program	In-Process	UT Interns
11	Bus Electrical Vehicle Program & Infrastructure		
12	Rail-FRA PTC Change Management Requirements (Herzog)		
13	Demand Response North Base Facility Build - FY25 Opening		
14	Spare Labs.com - Demand Response System Implementation		
15	MetroRapid Startup - June 2023		
16	Board Policies/Goals - Monitoring & Reporting (e.g., OTP; Fare Recovery; DBE; Title 6 Equity Analysis; etc.)		
	<b>NEW PROJECTS ADDED TO FY23 AUDIT PLAN by Terry Follmer</b>		
1	Oracle - Health & Safety module implementation and interface to GRC		
2	MV Transportation - New Hire Bonuses (\$7K Moving; \$3K Training)	In-Process	
3	P-Card Non-travel transactions - Policy & Compliance	In-Process	
4			